

APPALOOSA EFP STALLION SERVICE AUCTION (SSA) REGULATION

§ 1 PARTICIPATION CONDITIONS

- (1) The owner of the stallion has to be a ApHC member at the time of the nomination of the stallion.
- (2) The following aspects are requirements for a stallion to participate in the Stallion Service Auction. The stallion must fulfill all requirements:
 - a) The stallion has to be registered with one of the ApHC-approved breed associations described in §204 of the ApHC Rulebook 2022 (“Bloodline requirements”).
 - b) If the stallion is located in the geographical area of the EAF, the equine passport of the stallion has to include an EU-conform breeding certificate of a breeding association that is recognized within the European Union.
 - c) A DNA-analysis of the stallion must be available.
 - d) A Five-Panel Test for the stallion must be available and the EAF has the authorization to publish these results. The results of these tests only serve to inform breeders and will not influence whether the stallion will be paid-in or not.
 - e) Bullet point d) is invalid, if there are complete negative Five-Panel Tests available for the sire and the dam of the stallion. The EAF is authorized to publish these results as well.
 - f) For progeny of the AQHA stallion IMPRESSIVE an HYPP-test must be available.
 - g) During the breeding season (01/02 until 30/06 of the respective year) the stallion must be stabled in Europe. If frozen or cooled semen are used, the semen must be available in Europe throughout the whole breeding season.
- (3) The nomination becomes valid once all fees are paid and all documents have been handed in at the EAF secretary.
- (4) In case of default of the SSA requirements the nomination fee will be paid back to the stallion owner with a deduction of a 20% service fee.
- (5) Geldings are eligible to participate in the SSA if their semen is available. The rules of the SSA apply as well.

§ 2 STALLION NOMINATION FEE

- (1) For a stallion the breeding of which is offered for sale at the SSA, the nomination fee equals to 50% of the stallion breeding fee (incl. breeding fee and all other related costs) applied for the relevant breeding year).
- (2) For a stallion the breeding of which is not offered for sale at the SSA, the nomination fee equals to the full breeding fee of the stallion (incl. breeding fee and all other related costs) applied for the relevant breeding year).
- (3) While the breeding fee is calculated from the highest fee charged by the owner during this year. Additional costs such as shipping fee for the semen, daily allowance for the mare or veterinarian fees will not be taken into account.
- (4) The lowest nomination fee possible is 500 €, even if the regular breeding fee is lower than that.

- (5) If a stallion owner specifies the breeding fee as “private treaty”, a nomination fee of 3,000 € will be administered.

§ 3 REFUND

- (1) If the breeding of a stallion offered before the SSA is auctioned or sold in the after-sale (before March 30 of the breeding year), the owner will be refunded 100 % of the nomination fee by the EAF as soon as the bidder/buyer paid his fees.
- (2) If the breeding of a stallion offered after the SSA is sold in the after-sale (before March 30 of the breeding year), the owner will be refunded 50 % of the nomination fee by the EAF as soon as the bidder/buyer paid his fees.

§ 4 DEADLINES AND FEES IN ORDER TO NOMINATE A STALLION

- (1) Whether the breeding service is supposed to be offered for sale at the SSA or not, Stallions must be registered and nomination fees must be paid 15 days prior the date of the SSA (Stallions registered for the SSA of year X, are nominated for the breeding year X+1).
- (2) The date of the SSA and the editorial deadline for the stallion catalogue will be announced annually via the EAF’s media channels. The stallions that have been nominated (paid-in) on time will be published in the online stallion catalogue of the EAF.
- (3) Except if the transitional rules under §9 apply, stallions can be late-registered for the SSA after-sale until the 30th of March of the respective breeding year through payment of the full nomination fee.
- (4) Stallions can be late-registered until the 31st of July of the respective breeding year through payment of one and a half times the nomination fee. In that case a late purchase is not possible.
- (5) Stallions can be late-registered until the 31st of December of the respective breeding year through payment of twice the nomination fee. In that case a late purchase is not possible.
- (6) The date of the post stamp or the date of the e-mail/fax is essential to prove the date of receipt of the nomination documents.
- (7) Stallion owners will each receive a voucher, which allows a free Futurity/Maturity entry for one horse. The voucher can only be used for an EFP Full Eligible Horse.

§ 5 BREEDING REQUIREMENTS

- (1) The EAF only operates as an intermediary for the breeding contract and is not responsible for the correctness of the information given by the stallion owner. Therefore only the individual agreements - also regarding the costs - that arise from the breeding requirements and the breeding contract of the respective stallion are valid.
- (2) The EAF will not take responsibility for or guarantee the correct execution of the breeding or the quality of the semen.
- (3) The EAF will not acknowledge any claims of damage or pecuniary loss from the stallion or the mare owner.

§ 6 EXECUTION OF THE AUCTION AND AFTER-SALE

- (1) Only ApHC members of European local association member of the EAF are allowed to participate to the auction, bid on a breeding or purchase a breeding service in the after-sale.
- (2) Breeding services can only be purchased for ApHC-approved breedings. If the mare is a progeny of the stallion IMPRESSIVE a negative HYPP-test must be available. By purchasing

the breeding service, the mare owner obligates himself to present the mare's Certificate of Registration as well as the results of a genetic test (if available) to the stallion owner.

- (3) Stallions that have been paid-in on time will be presented on the EAF website. Usually the auction will take place during the European Appaloosa Championship. The name of the stallion and the nomination fee will be announced.
- (4) The auction begins with a silent auction. Mare owners have to fill in a bidding form. The minimum bidding price is 50 % of the nomination fee plus 50 €. The bidding will be executed in at least 50 € steps. Written biddings will only be accepted until two hours – or five hours in case of online bidding - before the live auction starts and the order of the stallions in the live auction will be set up at this point.
- (5) During the live auction all stallions will be auctioned live. The order will be set by the highest bidding among the biddings handed in during the silent auction. In the first instance all stallions that have been bided on will be auctioned separately. After that, stallions that have not been bided on will be auctioned in alphabetical order. The bidder with the highest bid receives the award.
- (6) By giving the highest bid the buyer obligates himself to pay the buying fee after invoicing and to adhere to the breeding regulations of the stallion owner. By giving his bid, the buyer agrees to a contract with the stallion owner.
- (7) Breeding services of stallions that have been paid-in late before the 30th of March of the breeding year will be offered for auction on the EAF website. Lowest bid must be 50 % of the nomination fee plus 50 €. Bidding must be in 50 € steps at least. The end of the auction (at least 14 days after publication) will be published on the EAF website. Not auctioned breeding services will be offered for late-purchase as described in § 6 (8).
- (8) Not auctioned breeding services will be offered for late-purchase until the 30th of April of the breeding year. Late purchase fee is 50 % of the nomination fee plus 100 € late purchase fee. Apart from that, the conditions are the same as with an auctioned breeding.

§ 7 ENROLLMENT OF PROGENY

- (1) Foals must be enrolled to be futurity/maturity eligible.
- (2) Only progeny which fulfills the following requirements is eligible for enrollment as a **EFP Full Eligible Horse** :
 - a) The horse has to be ApHC (Appaloosa Horse Club) registered. If the Certificate of Registration has not been issued for a foal in its year of birth, the "pending" status will be sufficient if a copy of the Registration Application has been handed in.
 - b) The sire of the horse has to be paid-in to the Stallion Service Auction (SSA) of the EAF in the year previous to the insemination.
- (3) Progeny that does not fulfill the requirements above but which fulfills the following requirements is eligible for enrollment as a **EFP Limited Eligible Horse** :
 - a) The horse has to be ApHC (Appaloosa Horse Club) registered. If the Certificate of Registration has not been issued for a foal in its year of birth, the "pending" status will be sufficient if a copy of the Registration Application has been handed in.
- (4) The nomination will be carried out as soon as the nomination form and a copy of the ApHC Certificate of Registration are handed in. If the Certificate of Registration has not been issued yet for a foal in its year of birth, the "pending" status will be sufficient if a copy of the Registration Application has been handed in. A copy of the Certificate of Registration must be filed subsequently in order to list the horse in the online list.
- (5) Stallion owners that nominated their stallions in the SSA as well as mare owners that purchased a breeding service will receive a 25 € voucher for the nomination.

- (6) If a late insemination is executed with a breeding service auctioned at the SSA, the foal is only futurity/maturity eligible if the sire is paid-in in the year previous to the late insemination.
- (7) Unless the transitional rule described un §9 apply, nomination fees are as follow:
 1. Weanling enrollments: one-time payment of 100 euros;
 2. Yearling enrollments: one-time payment of 200 euros;
 3. 2 and 3 years old enrollments: one-time payment of 250 euros;
 4. 4 years old and over enrollments: one-time payment of 300 euros.
 5. 7 years old and over enrollments: one-time payment of 150 euros
- (8) Nomination fees described under §7(6) is increased by an amount of 50 euros for EFP Limited Eligible Horse

§ 8 SPECIAL REGULATIONS

- (1) The regulations of the ApHC apply in their respective version.
- (2) In case of unsure questions or regulation gaps the board of the EAF will decide how to proceed.

§9 TRANSITIONAL REGULATIONS

- (1) For the breeding year 2022, stallions can be nominated under the conditions of §2 (1) until 30 June 2022. No auction will be organized for this year so the nomination fee will not be refunded.
- (2) Notwithstanding the Stallion Nomination and the Horse Enrollment Rules described above, any horse born in 2022 and before can be enrolled to the EFP in 2022 for a fix transitional fee of:
 1. 200 euros for horses aged 3 and below
 2. 150 euros for horses aged 4 and over
 3. 50 euros for horses aged 8 in 2022.
- (3) Once the Transitional fee is paid, the horse will be considered as a Full EFP Eligible Horse, eligible to be shown in the relevant Futurity and Maturity classes, until the horse reached the age of 8. They would thus be entitled to benefit from the Full EFP Purse.
- (4) The Transitional fee must be paid by 30 June 2022 at the latest or 6 months after the foal is born (if foaling year is 2022). After these dates, the rules and fees applicable for the Enrollment of a horse that is not an Eligible Foal will apply and the horse will not be considered as Full EFP Eligible Horse.